- 1 2 Prism adaptation effects in complex regional pain syndrome: 3 A therapo-physiological Single Case Experimental Design exploratory report 4 5 Foncelle A (1)\*; Christophe L (1, 2)\*; Revol P (1,3), Havé L. (1), Jacquin-Courtois S (1, 2)\*\*; 6 Rossetti Y (1, 3)\*\* ; Chabanat E (1)\*\* 7 \* and \*\*: equal contributions 8 9 1. INSERM U1028, CNRS UMR5292, Centre de Recherche en Neurosciences de Lyon (CRNL), 10 Equipe ImpAct, 16 avenue du doyen Lépine, Bron cedex, F-69676, France. 11 2. Service de médecine physique et réadaptation, Hôpital Henry Gabrielle, Hospices Civils de 12 Lyon, route de Vourles, 69230 Saint Genis Laval, France. 13 3. Plate-forme 'Mouvement et Handicap' and Neuro-Immersion, Hôpital Henry-Gabrielle and 14 Hôpital Neurologique, Hospices Civils de Lyon, 20 route de Vourles, 69230 Saint-Genis-Laval, 15 France 16
- 17 Key-words: CRPS, chronic pain, prism adaptation, pathophysiology, therapo-physiology
- 18
- 19 ABSTRACT

20 Complex Regional Pain Syndrome (CRPS) is an invalidating chronic condition that can occur 21 after an acute peripheral lesion. Prism adaptation therapy is regarded as a promising tool to 22 improve chronic pain in this syndrome but the mechanisms which lead to pain amelioration 23 remain unknown. In this exploratory report we performed a retrospective analysis of longitudinal 24 data collected from a single, atypical patient, who showed hyper-attention toward her affected 25 (left) hand. Repeated assessments of pain and spatial neglect made during the course of the 26 prism adaptation treatment revealed differential contributions of the two hands to adaptation-27 induced pain reduction. Treatment response appeared to be associated with a relative 28 modification of the spatial behaviour of the two hands. This case study provides a new example 29 of pain relief following prismatic deviation away from the pathological side.

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#### 2 INTRODUCTION

3 Complex Regional Pain Syndrome (CRPS) is a lateralized chronic pain condition that usually 4 appears after a mild traumatic/noxious event. It is characterized by severe and disproportionate 5 pain, reduced range of motion, and autonomic symptoms. The evolution of CRPS is usually long 6 and unpredictable. Patients often endure permanent and intense pain, which can have dramatic 7 consequences for their everyday functioning, and for which there is often no effective treatment. 8 Beyond the chronic pain itself, motor disabilities jeopardize their employment and social roles, 9 and patients frequently suffer from sleep disturbances and intense reactive depression, resulting 10 in a marked handicap and heavy social costs (Birklein & Schlereth, 2015).

11 Although the precise mechanisms underlying CRPS may vary from individual to individual, 12 including biological pathways involved in aberrant inflammation or vasomotor dysfunction, it is 13 generally accepted that maladaptive plastic processes in the central nervous system accompany 14 the peripheral pathology (Marinus et al., 2011; Birklein & Schlereth, 2015), and sensory 15 dysfunction and pain have been connected with cortical reorganization in the primary and 16 secondary somatosensory cortices (Pleger et al., 2006). Tactile sensory abnormalities such as 17 hypersensitivity, hypoaesthesia, hyperalgesia or even allodynia, have also been linked to pain 18 and somatosensory cortex reorganization (see Henry, Chiodo & Yang, 2011 for a review on 19 chronic pain). Furthermore, it is widely acknowledged that CRPS patients have body 20 representation abnormalities like impaired laterality recognition of the affected limb or lateral bias 21 of the visual subjective body midline (Schwoebel, Friedman, Duda & Coslett, 2001; Moseley, 22 2005; Reinersmann, Haarmeyer & Blankenburg, 2010). The affected limb can also be felt to be 23 bigger than it actually is (Moseley et al. 2005) and patients may have strange feelings about 24 their limb (Förderreuther, Sailer & Straube, 2004) as if it no longer belongs to them 25 (consciousness that it belongs to the body has disappeared) or that moving it requires intense 26 effort and attention (Galer & Jensen, 1999).

One of the most common and disabling features of CRPS is underuse of the affected limb. This feature of the syndrome has been related to motor neglect (Laplane & Degos, 1983), the clinical description of which is hypokinetic, bradykinetic, and hypometric movements of the affected arm (Galer et al., 1995). The use of the term "neglect-like" to qualify these deficits has led to an everincreasing number of publications speculating on the parallel between spatial neglect following stroke and body representation disturbances in CRPS patients (Sumitani et al., 2007a; Reinersmann et al., 2012; Förderreuther, Sailer & Straube, 2004; Frettlöh, Hüppe & Maier, 2006;

1 Acerra, Souvlis & Moseley, 2007; Kolb, Lang, Seifert & Maihofner, 2012; Moseley, Gallace & 2 Spence, 2009; Filippopulos, Grafenstein, Straube & Eggert, 2015). Indeed, spatial neglect 3 includes a variety of symptoms, one of the most striking of which is perceptual neglect i.e. 4 difficulties detecting, responding to, or orienting attention towards stimuli presented on the 5 contralesional side of space (Rode, Pagliari, Huchon, Rossetti & Pisella, 2017; Legrain, 6 Bultitude, De Paepe & Rossetti, 2012). For example, after a right hemisphere lesion, patients 7 can fail to eat the food on the left side of their plate, to make up or shave the left side of their 8 face, can bump their left arm when passing through doorways, and exhibit less auditory attention 9 to their left side (Jacquin-Courtois et al., 2010). Despite the fact that CRPS patients have no 10 brain lesion and do not usually exhibit such a severe attentional bias, the parallel between these 11 two syndromes has been repeatedly drawn and several publications have investigated 12 perceptual neglect symptoms in CRPS.

13 Perceptual neglect in CRPS patients has been explored with specifically-designed subjective 14 questionnaires (Förderreuther, Sailer & Straube, 2004; Galer & Jensen, 1999; Frettlöh, Hüppe & 15 Maier, 2006; Kolb, Lang, Seifert & Maihofner, 2012) as well as with more objective clinical and 16 psychometric tests of tactile attention (Moseley, Gallace & Spence, 2009; Moseley, Gallace & 17 Iannetti, 2012; Reid et al., 2016), visual attention (Bultitude et al., 2017; Filbrich et al., 2017), 18 and egocentric reference frame (Sumitani et al. 2007b, Reinersmann et al., 2012). Studies of 19 visual subjective body midline measurements in CRPS patients have reported a systematic 20 leftward bias regardless of the hand affected by CRPS (Reinersmann et al., 2012), no 21 systematic bias (Christophe et al., 2016) or a bias towards the affected side (Sumitani et al., 22 2007a). In contrast, using a visual temporal order judgement task, Filbrich et al. (2017) reported 23 a bias towards the *unaffected* limb. A bias towards the unaffected limb finding was also found by 24 Bultitude and colleagues (2017) when targets appeared both on and near the limb (see also 25 Filbrich et al., 2017). In a much larger patient sample (n = 54), however, Halicka et al. (2020b) 26 found no evidence for spatial biases in visuospatial attention (temporal order judgement, 27 Landmark, and greyscales tasks) or mental space representation (Mental Number Line Bisection 28 task). They concluded that a relationship between CRPS pain and body perception disturbance 29 or motor impairment likely exists, but that the presence of spatial biases has likely been 30 overestimated. While their large sample lends support to this conclusion, a close look at the data 31 reveals considerably more variability in the CRPS group than the control group, with a number of 32 patients exhibiting substantial spatial biases. The presence of substantial between-patient 33 variability, a large range of tasks for exploring spatial cognition, each of which potentially taps 34 into a different underlying process, and the inconsistent results of previous studies, all suggest

1 that spatial cognition in CRPS patients has not yet been sufficiently explored.

2 As mentioned above, at least one study reported a systematic bias in the visual egocentric 3 reference frame towards the affected side (Sumitani et al., 2007a), and in a follow-up study this 4 same group demonstrated that prisms that induce an optical shift away from the affected side 5 significantly reduced pain (Sumitani et al., 2007b). The therapeutic effect of adaptation to prisms 6 that induce an optical shift away from the affected side was replicated in another patient by 7 Bultitude & Rafal (2010) who reported a positive effect on both pain and range of motion, but 8 only when PA was performed with the pathological hand. A longitudinal follow-up of one patient 9 in the Sumitani et al. study demonstrated the directional specificity of the prismatic deviation, by 10 showing that neutral prisms did not alter pain and that prisms that induced an optical shift 11 towards the affected (i.e. that increased the visual reference frame bias) side tended to increase 12 pain (2007b).

On the basis of these results, the neglect-like hypothesis of CRPS has been questioned, and it has been suggested that CRPS patients might have instead an attentional bias towards their affected side that enhances the weight of nociceptive over epicritic stimuli and consequently maintains pain (Legrain, Iannetti, Plaghki & Mouraux, 2011). Another hypothesis proposes that the presence of pain in the affected limb tends to favour protection by increasing visual scanning, and that this explains the presence in some patients of a visuospatial bias towards the painful side (Reid et al., 2016).

20 Classical prism adaptation (PA) studies in healthy subjects (e.g. Redding et al. 2005) and 21 neglect patients (e.g. Rode et al. 2015) suggest that prisms that induce an optical shift towards 22 the left should produce leftward visual aftereffects and rightward manual/proprioceptive 23 aftereffects (and vice versa for right-shift prisms). If it is assumed that the basic perturbation 24 underlying the spatial cognition bias observed in some CRPS patients lies in the visual 25 coordinate system, then inducing an optical shift in the direction opposite to the bias should 26 reduce the visual bias and reduce pain. This was found to be the case in the 5 patients studied 27 by Sumitani et al. (2007b) who all had a pre-adaptation visual egocentric reference frame bias 28 towards their affected limb that shifted towards their unaffected limb after adaptation using an 29 optical deviation towards their unaffected side. More recently, we replicated the pain-reducing 30 effects of PA with an optical deviation towards the unaffected limb in a group of 7 CRPS patients 31 (Christophe et al., 2016a). Interestingly, these effects were unrelated to visual egocentric 32 reference frame biases, as unlike the patients described by Sumitani et al. (2007b), our patients

1 showed no pre-adaptation bias towards their affected limb and no adaptation-induced shift in 2 their visual egocentric reference frame. These data question the logic behind the idea that 3 patients will only benefit from PA if they have an initial attentional bias towards their affected side 4 that is in turn "corrected" by PA. Thus, despite the existence of data suggesting that prism 5 adaptation with a visual shift towards the unaffected limb can alleviate pain in CRPS patients, 6 there appears to be no clear rationale for the selection of a given optical shift, the use of a given 7 hand to perform the pointing during prism adaptation, nor any precise understanding of the 8 mechanisms involved in PA's therapeutic effects in these patients.

9 Here we provide a detailed account of the therapeutic follow-up of a CRPS patient described 10 elsewhere (see Christophe et al., 2016b) who, after PA with an optical deviation towards her 11 unaffected side, exhibited clear, long-lasting pain improvement. This patient differed from 12 classical case studies of CRPS patients in that she displayed no signs of neglect of her affected 13 side, but was instead hyper-attentive with tests of number representational neglect, motor 14 neglect and extinction as well as measures of visual and manual straight-ahead and line 15 bisection all showing signs of a bias towards her affected (left) hand. In this paper we attempt to 16 better understand the potential cognitive mechanisms involved in PA-induced pain reduction by 17 exploiting the existence of repeated measures of numerous parameters taken in this patient over 18 a two-week PA intervention period and at an 8-month follow-up visit.

#### 19 METHODS

#### 20 Patient AZ

21 In a previous clinical postcard (Jacquin-Courtois et al., 2017) we reported the striking 'anti-22 neglect' clinical profile of AZ who demonstrated a substantial bias towards her affected side on a 23 number of different measures. At the time of testing, AZ was a 50-year-old woman suffering from 24 type 1 CRPS (with no neurological lesion) on her left hand, due to benign surgery three years 25 earlier. She rapidly developed disproportionately intense pain, with symptoms fulfilling the 26 Budapest diagnosis criteria (Harden et al., 2010). Following several different therapies, none of 27 which produced any long-lasting effects, she presented with permanent pain (ranging from 60 to 28 80/100 on a visual analog scale), very intense allodynia on the back of her hand, and very 29 cautious protective behaviour towards her pathological hand (she wore a thick fur cuff and was 30 careful not to move her hand). The psychological impact of her disability was such that she had 31 stopped working and her mood and sleep quality were affected. She had no previous history of 32 psychological disorders, but presented with a treated reactive depression and anxiety about her 33 future. AZ spent two weeks as an inpatient at the Henry Gabrielle Hospital (Hospices Civils de

Lyon) and gave written informed consent to participate in an intensive prism adaptation
 treatment during her hospitalisation.

#### 3 Spatial cognition outcome measures

Adopting both a prospective and exploratory approach, we carefully tested AZ for spatial neglect before, during and after prism adaptation using sensitive and continuous measures: spatial frames of egocentric reference and manual line bisection. Spatial reference frame measures included visual straight-ahead and manual straight-ahead deviations. Details of all measures are outlined below (for more detail see Christophe et al., 2016a,b):

9 • Visual straight-ahead (VSA)

10The patient was seated comfortably with her head on a chin rest, and her body aligned11with the midpoint of a screen that was either 1 or 2 m in front of her. The experiment was12carried out in total darkness. A small red dot (LED) appeared at eye level at

13 approximately 30° to either the right or left of her objective body midline (OM). This dot

- 14 moved from right to left (or vice versa) in a counter-balanced design at approximately 3° 15 per second. AZ was asked to stop the dot, using a verbal command, when its position 16 crossed her midsagittal plane. Visual straight-ahead (VSA) was evaluated as the 17 deviation between the dot's position and the objective midline position (in degrees of 18 visual angle). A rightward deviation was signed as positive while a negative value 19 indicates a leftward deviation. Ten trials were performed before and after each prism 20 adaptation session (denoted as Pre and Post). Reported VSA measures are the average 21 of these 10 values.
- Manual/proprioceptive straight-ahead (MSA)

23 The patient was seated comfortably at a table with her head on a chin rest which ensured 24 that her trunk remained in an upright position and her head straight. She was asked to 25 point to a position on the table that indicated the "straight-ahead" position, that is, to 26 indicate the position of an imaginary line dividing her body into two equivalent halves. 27 The patient extended her arm without any speed or amplitude constraints and was in 28 total darkness. A metallic thimble on her index finger was used to measure the deviation 29 angle: when the finger touched the table's surface (which was covered with carbon 30 isoresistive paper) the tension between the thimble contact point and the reference 31 electrode was measured and this was used to calculate the angular position (in degrees) 32 relative to the objective sagittal axis with a measurement precision of  $\pm 0.5$  degrees. As

for VSA, positive values reflect a rightward deviation and negative values a leftward
 deviation. Ten trials were performed with each hand both before and after PA, and
 reported measures are the average of these 10 values.

• Open-loop pointing (OLP)

5 Open loop pointing measurement was carried out under the same conditions as those 6 described above. The luminous visual target was aligned with the patient's sagittal axis 7 and she was instructed to align her right or left index finger with the target as precisely as 8 possible with no time limit to perform the task. Data collection and processing were 9 similar to MSA (10 trials with each hand before and after PA). Open loop pointing is 10 generally considered to be the sum of MSA and VSA (Redding & Wallace, 1988) and is 11 used to assess the combined effect of the visual and proprioceptive systems.

12 • Manual Line bisection

13 The patient was seated at a table in front of an A4 sheet of paper on which a centered, 14 200mm long and 2mm thick line was printed. The midpoint of this line was aligned with 15 her midsagittal axis and she was asked to mark the middle of the line without any 16 computation or external help. The deviation was calculated by measuring the distance in 17 millimeters between the marked point and the objective midpoint of the line. A leftward 18 shift had a negative value and a rightward shift a positive value. Ten trials were 19 performed with each hand both before and after PA and reported measures are the 20 average of these 10 values.

• Prism Adaptation (PA)

22 PA was carried out using a pair of glasses (http://OptiguePeter.com/) that produced a 15° 23 rightward optical deviation of the visual field - towards the unaffected (right) side of the 24 patient's body. The prismatic lenses were composed of two superimposed, curved, point-25 to-point lenses fitted with a "glacier" frame containing lateral leather protectors designed 26 to avoid access to non-shifted vision. During prism exposure, the patient executed 80 27 rapid pointing movements towards visual targets located 10 degrees to the left or to the 28 right of the body midline, in a pseudorandom order. Our patient made rapid, non-29 corrected movements which resulted in large errors on her initial pointing movements, 30 gradual error reduction, and successful sensorimotor adaptation. Her spontaneous 31 comment at the beginning of exposure revealed that, unlike neglect patients (Rode et al. 32 2015), she was fully aware of the visual shift.

# 1 Experimental time course

3 We use the term *measurement session* to refer to times when various measures of spatial 4 neglect were made. Since these were taken both before and after each adaptation session there 5 were 4 measurement sessions per day during the intervention period. Prior to the intervention 6 period 2 measurement sessions were conducted in the morning of day 1. This was followed by a 7 break followed by a further measurement session (pre-test), the first adaptation, then a post-test 8 measurement session. This pattern of pre-test measurement session - adaptation session -9 post-test measurement session was continued over 3.5 days followed by a 3-day break and then 10 repeated in week 2 (see Table 1).

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The experiment was divided into five time periods: 1) **baseline** before any prism adaptation composed of up to two measures; 2) **week1: left hand PA:** 7 PA sessions (2 per day for 3.5 days) with 13 measurement sessions; 3) **week 2: right hand PA:** 7 PA sessions (2 per day for 3.5 days) with 14 measurement sessions; 4) **left hand PA:** one session of PA with the left hand at the end of week 2 and 2 measurement sessions (one pre, one post); 5) **long-term follow-up**, eight months after the treatment a final measurement session was performed.

Table 1: <u>Global time course of the experiment</u>. The intervention lasted 2 weeks and included 14 prism adaptation sessions and 28 measurement sessions. A final adaptation session with the left hand was performed on the last day of week 2 and for the purposes of the current analyses was not considered as part of the intervention. Follow-up measurements were made eight months later.

	Week 1	Week 2		+ 8 months
	Inter			
<u>Baseline</u>	Left (affected) hand PA	Right (non-affected) hand PA	Left (affected) hand PA	<u>Follow-up</u>
2 measurement sessions	13 measurement sessions 7 adaptations 3.5 days	14 measurement sessions 7 adaptations 3.5 days	2 measurement sessions 1 adaptation session 0.5 days	2 measureme nt sessions

19 The first measurement session of the intervention revealed deviations towards the painful (left) 20 side in visual and manual straight-ahead as well as line bisection. That is, neglect of the 21 unaffected side, which contrasts with the widespread idea that CRPS patients neglect their

pathological side (Greenspan, Treede & Lenz, 2012; Punt, Cooper, Hey & Johnson, 2013).
Although AZ's pattern of reference frame biases was opposite to that observed in Sumitani's
patients (see Jacquin-Courtois et al., 2017 for the detailed description), we reasoned that it
would be unethical to use a direction of optical deviation that had been shown to increase pain
levels, and so we were guided by Sumitani et al. (2007b) in our choice of posology and the use
of right shifting prisms (towards her unaffected arm).

7 Table 1 shows the global time course of the experiment. During her two-week hospitalization AZ 8 performed two PA sessions per day with 15° right-shifting prisms (towards the unaffected side). 9 Each session was separated by at least five hours (e.g., 9 a.m. and 2 p.m.) and there was a 3-10 day break between the two weeks. Line bisection was measured 9 times throughout the 11 intervention while visual and manual straight-ahead, open loop pointing, and pain were all 12 measured before and after each adaptation session. Following Bultitude & Rafal's (2010) 13 recommendations, AZ performed the pointing during prism adaptation with the left (painful) hand 14 during the first week. We then switched hands and she performed the pointing during prism 15 adaptation with her right hand during the second week. On the last session of the second week, 16 prior to her discharge from the hospital, pointing during prism adaptation was performed with the 17 left (painful) hand, with the aim of maximizing potential long-term pain-reducing effects of 18 adaptation with the left hand. As the patient lived more than 600 km from the hospital we were 19 not able to provide a further detailed follow-up. Nevertheless, she was able to return 8 months 20 later for a follow-up measure of her spatial reference frames and pain level.

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#### 22 Statistical analysis

Despite the fact that the study was exploratory and was therefore not ideally designed for any one particular type of analysis, we used the Single-Case Experimental Design (SCED) approach to analyse our data, i.e. we considered recorded variables as time series and looked for changes in levels or trends during the two intervention weeks. We conducted our analyses using either R software or custom-made Python programs. 1 • Breakpoint detection and temporal evolution

The evolution of all variables over time was mathematically defined as a superposition of two linear functions reflecting the two different phases of the intervention (see equation (1)). Slopes  $a_1$  and  $a_2$  of the two phases, first session score  $y_0$  and the moment of the transition  $t_0$  between the 2 phases were fitted. This model was applied to Pain, MSA, VSA at 1m, VSA at 2m, OLP and line bisection measurements.

$$y(t) = \begin{cases} a_1 t + y_0 & (t < t_0) \\ a_2(t - t_0) + a_1 t_0 + y_0 & (t \ge t_0) \end{cases}$$
(1)

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Pain and straight-ahead data series

9 Using the R library *dynlm (Zeileis, 2019)*, we applied generalized linear models designed for time 10 series. For more robustness, both pre-adaptation and post-adaptation measurements were 11 taken into account. Since the line bisection series had fewer than ten points in all conditions it 12 was impossible to use generalized linear models with these data. The final model takes this 13 mathematical form:

$$Pain = \beta_1 f_{PP} + \beta_2 f_{LH_{OP}} + \beta_3 f_{RH_{OP}}$$
(2)

14 With  $f_{PP}$ ,  $f_{LH_{OP}}$  and  $f_{RH_{OP}}$  representing pre/post, OLP deviations of the left and right hands.

15 The choice of this model was motivated by the fact that our pre/post data are partly auto-16 correlated due to the repetition of straight-ahead measures before and just after adaptation. The 17 fluctuations generated by the pre/post effect must therefore be "subtracted" (considered as a 18 factor) from the real effects obtained for the right and left hands.

#### 19 • Significance

20 We do not interpret our results using the classical rules of statistical significance. These data 21 come from a single patient and we do not intend to extend her pattern of results to all CRPS 22 cases. Instead, with this type of design what counts is to know if, at a certain moment, measures 23 improved, remained stable, or eventually worsened. Thus, when the calculated slope values are 24 known with precision (i.e. when the model fit is good), we present the 95% confidence interval 25[in square brackets], which gives a good quantitative idea of the rate of improvement (or 26 worsening). On the contrary, when the values obtained by the fit are less precise, we consider 27 only their sign (positive or negative). In these cases, a one-sided test was performed and 28 therefore the 95% confidence intervals only consider the lower limit of the interval, the upper 29 limit (in absolute value) being infinity. The question then becomes "is the slope strictly positive or 30 strictly negative?". This technique allows us to determine whether there was any improvement or 1 worsening, without knowing the exact extent. Only the absolute value of the lower limit of the 2 interval makes it possible to quantify how much the slope differs from zero.

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#### 4 RESULTS

5 Following recommendations made for SCED (Krasny-Pacini & Evans, 2018) at least 5 points per 6 phase are required to achieve sufficient robustness. Thus, in order to ensure the robustness of 7 our statistics, we only analysed periods containing more than ten points (see Figure 1), namely 8 week 1 and week 2 intervention periods. Data from the other periods are presented for visual 9 comparison.1

1. Temporal evolution of pain, VSA, MSA, OLP and line bisection measurements (all results 11 are shown in Table 2 and Figure 1). 12 Pain (Figure 1a) • 13 Unsurprisingly, pain ratings were highly variable. The optimal moment to where 14 two slopes could be distinguished was the 23rd session [15.3, 30.7]. The 95% 15 confidence interval for this moment was mainly in the second week, which began 16 at session 16. In the first week pain reduced by approximately 24 pain units and 17 the first week's slope was -1.7 pain units per session [-2.8, -0.5]. The second 18 week's slope was +2.3 pain units per session. This did not reach significance, as 19 the 95% confidence interval was [-3.8, 8.3]. Overall, these analyses show that 20 pain decreased by approximately 30 pain units over a period of time that 21 extended into the second week of the intervention. 22 23 Manual straight-ahead deviation (Figure 1b) • 24 Right hand deviations were relatively stable across the two adaptation weeks, 25 fluctuating around -9° (significance was not reached for either of the two slopes, 26 nor for the moment  $t_0$ ). In contrast, two phases could be identified for left hand 27 deviations. The moment t<sub>0</sub> was found to be at session 19 [12.4, 25.6], slightly after 28 the end of the first week, and the slope of this first phase was 0.9° per session 29 [0.5, 1.3], representing a rightward shift. The slope of the second phase was -0.2° 30 per session but was not significant. These results show that manual straight-31 ahead deviation with the left hand improved (moved rightwards - towards the

<sup>1</sup> The visible two-order alternating pattern of the results is due to the fact that each day 1

<sup>2</sup> contained two adaptation sessions, each of which was preceded by a "pre" measure and

followed by a "post" measure. This alternating pattern represents a short-term effect that is 3

<sup>4</sup> not meaningful in the context of this study in which we were interested in the clinical

effects at the time scale weeks. 5

centre) at the end of the first week, during which adaptation was performed with the left (painful) hand. In contrast, right hand manual straight-ahead measurements remained left-deviated by about 10° across the two weeks. This result differs from that expected after adaptation with prisms deviating the visual field to the right, as *we would have expected (both hands) to show a leftward shift in proprioceptive deviation.* 

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# • Open loop pointing (Figure 1c)

OLP with both hands led to significant "crossed" results. The t<sub>0</sub> moments of the transition between the two phases were found at session 18 for the right hand and session 22 for the left hand, with widely overlapping confidence intervals (see Table 1). The slope of the first phase was -0.4° per session for the right hand and +0.30 per session for the left hand, with widely overlapping confidence intervals (in absolute value). Slopes for the second phase were near zero and non-significant.

- 16These data show that open-loop pointing shifted by approximately 6° for each17hand, but this shift was leftward for the right hand and rightward for the left hand.18This cross-over pattern occurred during the first week, when adaptation was19performed with the left (painful) hand, but open-loop pointing measurements were20stable in the second week, when adaptation was performed with the right21(unaffected) hand.
- Visual straight-ahead (Figure 1d, 1e)

24 Visual straight-ahead measurements at 2m did not reveal any significant results. 25 In contrast, for VSA at 1m the moment  $t_0$  reflecting the transition between the two 26 phases was significant at session 14 [6.6, 21.3], near the end of the first week but 27 with a confidence interval that extended into the second week. The slope for the 28 first phase  $(0.4^{\circ} \text{ per session})$  was positive (indicating a rightward shift) with a 29 unilateral 94% confidence interval of  $[0,+\infty]$ . The slope for the second phase was 30 smaller (-0.2° per session) and did not reach significance. The VSA results at 1m 31 during the first week are consistent with what is expected when rightward shifting 32 prisms are used. That is, a shift in the same direction as the visual deviation.

Line Bisection (Figure 1f)
 Line bisection performance with the right hand was relatively stable (around -8°)
 across the two intervention weeks. In contrast, bisection with the left hand shifted

- leftward across the two intervention weeks, although the slope was only significant during week two 0.7° [-0.1, 1.5].
  - The patient reported a clinically significant event at the 17<sup>th</sup> session, between the • two intervention weeks: the allodynic area on the back of her hand (corresponding to the fifth metacarpal area) changed to become dysesthesic. This change occurred over the week-end, when no experimental measures were conducted.

#### 2. Study of the link between pain, and pre/post adaptation open-loop pointing deviations using a linear model of pain

10 11 Open loop pointing (OLP) 12 Since OLP measures necessarily depend on visual and proprioceptive 13 information, they cannot be independent of VSA and MSA measures. We 14 therefore chose to investigate the effect of OLP on pain using the linear model 15 defined by equation (2). This led to the following results:  $LH_{OLP}$ 's slope ( $\beta_2$  in 16 equation (2)) was -1.4 pain units per degree, for a unilateral 94% confidence 17 interval of  $[-\infty, 0]$  (94% chance of being negative),  $RH_{OLP}$  ( $\beta_3$ , same equation) had 18 a slope of 1.8 pain units per degree, for a unilateral 93% confidence interval of 19  $[0,+\infty]$  (93% chance of being positive) and  $Pre_{Post}$  showed a difference from pre to 20 post of 13.9 pain units, for a unilateral 95% confidence interval of  $[0, +\infty]$  (95% 21 chance of being positive). Therefore we observed a "crossover pattern" for the 22 two hands: the integration of the proprioceptive and visual angular deviations 23 acted negatively on pain when OLP was performed with the right (healthy) hand 24 and positively when performed with the left (painful) hand.

variable	regression coeff.	value	95% bilat. Cl	р
pain	slope week 1	-1.7	[-2.8 , -0.5]	0.003
	slope week 2	2.3	[-3.8 , 8.4]	0.224
	t0	23	[15.3 , 30.7]	0.000
MSA left hand	slope week 1	0.9	[0.4 , 1.3]	0.000
	slope week 2	-0.17	[-1.0 , 0.7]	0.345
	t0	19	[12.4 , 25.6]	0.000
MSA right hand	slope week 1	-0.8	[-2.6 , 1]	0.181
	slope week 2	-0.1	[-0.3 , 0.2]	0.259
	t0	8	[0,16.7]	0.035
VSA 1m	slope week 1	0.4	[-0.1,0.9]	0.063
	slope week 2	-0.2	[-0.4,0.1]	0.081
	t0	14	[6.7,21.3]	0.000
VSA 2m	slope week 1	0.1	[-0.1 , 0.3]	0.171
	slope week 2	0	[-0.5 , 0.5]	0.497
	t0	21	[-15.5 , 57.5]	0.125

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OLP left hand	slope week 1	0.3	[0.1 , 0.6]	0.006
	slope week 2	-0.16	[-1.2 , 0.9]	0.379
	t0	22	[9.9 , 34.1]	0.000
OLP right hand	slope week 1	-0.4	[-0.7 , -0.1]	0.002
	slope week 2	0	[-0.4 , 0.4]	0.490
	t0	18	[8.6 , 27.3]	0.000
Bisec. left hand	slope week 1	-0.5	[-0.3 , 0.4]	0.341
	slope week 2	0.7	[-0.1 , 1.5]	0.047
	t0	9.6	[-4.8 , 24.1]	0.080
Bisec. Right hand	slope week 1	0.06	[-0.3 , 0.4]	0.354
	slope week 2	-0.2	[-0.8 , 0.4]	0.243
	t0	19	[-5.2 , 43.2]	0.053

Table 2: Fitted coefficients for two-slope linear regressions. The two slopes and the transition moment are given for all variables as well as 95% bilateral confidence intervals and p-values. Significant values are in bold.



1

**Figure 1: a.** Temporal evolution of pain, **d.** visual straight ahead (VSA) and **b.** manual straight ahead (MSA), **c.** open-loop pointing (OLP) and **f.** line bisection (bisec) during the baseline, intervention (week 1, week 2) and follow-up. Blue (cyan) lines represent measures taken with the right hand and red lines represent left hand or non-manual measures. Asterisks represent periods in which slopes were significant. Straight lines, either blue or red, show fitted values. For all measures (other than pain) negative values represent deviations to the left (affected) side.

# 2

# **3 DISCUSSION**

4 In this exploratory report of a single patient we aimed to retrospectively analyse the potential

5 mechanisms that lead to pain amelioration during prism adaptation treatment. This longitudinal

1 case study was not intended to specifically address the potential therapeutic effects of prism 2 adaptation in CRPS. As a single case study, the question of external validity cannot be 3 addressed. Instead, we wanted to make use of this unique, dense data-set to explore the 4 processes possibly involved in pain reduction during prismatic adaptation. Our results show that 5 the patient reported pain relief (in the form of reduced VAS measurements) throughout the two-6 week intervention. Figure 1a clearly illustrates a decrease in pain ratings that lasted throughout 7 week 1 and into the beginning of week 2, which was followed by a slight, non-significant 8 increase. At the same time, measurements of manual straight ahead deviations also evolved: 9 deviations either improved by moving towards the centre (left hand manual straight-ahead and 10 open-loop pointing), or they remained stable (right hand manual straight-ahead and open-loop 11 pointing). The temporal profiles extracted by our analysis showed that marked changes 12 appeared at similar times for different parameters: left hand manual straight-ahead and left and 13 right hand open-loop pointing all showed a significant change in slope around the same time 14 (about the 20<sup>th</sup> session, after the end of the first week). Crucially, this time point also 15 corresponded to a change in the evolution of pain measurements.

16 It is noteworthy that all the transitions occurred around the end of the first week during which 17 adaptation was performed with the left (painful) hand. During the second week (when the right 18 hand performed the pointing during the adaptation sessions) measures were mostly stable or 19 changed only slightly. Interestingly, our data show that the pain-reducing effect of the first week's 20 adaptation continued beyond the first week until 2 days after the start of the right-hand 21 adaptation sessions. The time course of changes in all relevant variables was very similar: the 22 period of time before a statistically proven transition was longer than the duration of the first 23 week of the intervention. After this time, pain increased slightly, although non-significantly. The 24 time course of our results is consistent with a previous study showing both a time lag between 25 PA introduction (or hand change) and positive effects on pain and a positive effect on pain when 26 PA was performed with the painful hand (Bultitude & Rafal, 2010).

The use of right-shifting prisms meant that, irrespective of the hand used to perform the pointing during the prism adaptation sessions or the hand used to perform the manual straight ahead or open loop pointing, we expected to observe a leftward shift in manual angular deviations and a rightward shift in visual deviation. Our results for the visual deviations and for the manual deviations of the right hand were consistent with this prediction. For the left hand, however, we observed the opposite: manual straight ahead deviations and open loop pointing shifted to the right, improving to almost zero at the end of the first week whereas we expected them to shift to 1 the left.

2 Crucially, measurements made with the right (unaffected hand) suggest that all the processes 3 normally involved in PA (the direction of angular drifts, the multisensory integration of visual and 4 proprioceptive cues measured by OLP) took place as expected, but this was not the case for the 5 left (pathological) hand. Thus, even though pain improved, there was a non-expected response 6 of the left (painful) hand.

7 These results may be linked to the hypothesis put forward by Harris (1999) that pain emerges 8 from an incongruence between motor intention and real movement perception. Verfaille and 9 colleagues (2019) also suggested that movement could be hampered by disorganization of the 10 cortical somatotopic representations of the pathological limb due either to the peripheral lesions 11 related to the accident or an initial operation, or to the slow interaction between chronic pain and 12 effective use of the limb. According to this idea, improvement of the left (painful) limb would then 13 be responsible for normalization of movement execution and, according to Harris' hypothesis, for 14 pain relief. This reinforces the existence of a common process involving pain, body 15 representation, egocentric frames of reference and visuomotor spatial attention, and suggests 16 that the links between the various aspects of CRPS must be studied more precisely, and that the 17 concept of "neglect-like" symptoms in CRPS based on the analogy of hemineglect following a 18 stroke is probably too simplistic (Halicka et al., 2020a).

19 Sumitani (2007a,b) described a clear bias towards the painful limb in visual egocentric reference 20 frame measured at 2m with a reversal of this bias after adaptation with prisms that shifted vision 21 towards the unaffected limb (2007b). In our patient, visual straight ahead measured at both 1 22 and 2 meters was initially shifted leftwards towards the painful limb. VSA at 2m did not evolve 23 significantly during the intervention and thus did not correlate with changes in pain (Figure 1e) 24 whereas VSA at 1m shifted rightward during the first phase of the intervention. Interestingly, not 25all studies have reported a consistent bias in VSA towards the affected limb. Reinsersman and 26 colleagues (2010) found a median leftward deviation regardless of the affected side and Kolb 27 and colleagues (2012) found no significant difference between CRPS patients and controls (but 28 with data from left and right pathological sides pooled). This heterogeneity (see also Wittayer et 29 al., 2018 for a description of an association of neglect-like symptoms with pain) suggests that 30 there is large between-patient variability in this parameter. The conclusion made by Halicka et al. 31 (2020b) that attentional deviations in CRPS patients at the group level are likely overestimated 32 should not prevent us taking into account individual patients on a case-by-case basis, some of 1 whom may have substantial biases. Even if CRPS cannot be described in terms of spatial 2 distortion, the close links between egocentric reference frames, body somatotopic 3 representations, and spatial cognition probably leads, at least in some patients - like AZ, to shifts 4 in egocentric reference frames.

5

# 6 CONCLUSION

7 The aim of this exploratory report was to investigate the potential mechanisms leading to pain 8 improvement after prism adaptation in a patient with chronic CRPS. We observed a significant 9 decrease in pain levels following prism adaptation using a visual shift towards the unaffected 10 hand, but only when adaptation was performed with the painful hand. These results show that 11 prism adaptation can be a possible intervention tool for the treatment of CRPS, even when the 12 painful hand shows an unexpected pattern of spatial behaviour. In order to better understand the 13 mechanisms of pain relief from prism adaptation future these studies should include in a group 14 of patients with lateral egocentric reference frame biases and should pay particular attention to 15 the behaviour of both hands.

16

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